TERMINOLOGY

Compensation: Compensation includes direct and indirect remuneration, in cash or in-kind, as well as personal loans. Examples include (but are not limited to) the following: consulting fees, teaching/speaking fees, expert witness fees, honoraria for sponsored training events and related reimbursement, marketing or promotional support, and monies received for product development activities or participation on advisory committees. "Indirect remuneration" includes situations where Compensation is made through or to another Entity or individual (including through a business investment or an Immediate Family Member). For example, indirect Compensation would include payments from a company that receives payment from another Entity for your professional services.

Compensation does not include:

- Research grant amounts or research-based salary support related to a specific sponsored research project through Lurie Children's, NU, or RIC
- Direct or indirect remuneration received from Lurie Children's, NMHC, NU, RIC, or the VA
- Clinical income generated from your practice (e.g., income generated from patient care services)
- Royalties
- Travel-related expenses (travel, lodging, meals, and out-of-pocket expenses)

Entity: An Entity includes any partnership, corporation, limited liability corporation, unincorporated association, or other institution or organization, whether for-profit, academic, or professional. An Entity includes, by way of example:

- A Referral Source
- A Vendor/Referral Recipient
- A sponsor of your teaching or research activities
- An outside organization that contributes gift funds which are under the respondent's control or of direct benefit to your teaching or research activities
- An outside organization that has or will receive a license to intellectual property that you invented
- A physician-owned distributorship (POD)
- A market research firm

Feinberg: For purposes of this survey, "Feinberg" refers to Northwestern University Feinberg School of Medicine.

Health Care Industry: The Health Care Industry includes providers of diagnostic, preventive, remedial, and therapeutic services such as doctors, nurses, hospitals, and other private, public, and voluntary organizations, as well as medical equipment and pharmaceutical manufacturers and distributors, health insurance firms, and others that provide products and services related to the provision of health care.

Immediate Family Members: Immediate Family Members include your spouse/domestic partner and children. Immediate Family Members also include the following persons if they live with you, you manage their financial affairs, or you are aware without inquiry that they hold the interest or position in question: your parents, siblings, grandchildren, and their spouses/domestic partners; your spouse's/domestic partner's parents, siblings, children, grandchildren, and their spouses/domestic partners.

Lurie Children's: For purposes of this survey, "Lurie Children's" refers to the Children's Hospital of Chicago Medical Center and its affiliates (Ann & Robert H. Lurie Children's Hospital of Chicago, Ann & Robert H. Lurie Children's Hospital of Chicago Foundation, Stanley Manne Children's Research Institute, Pediatric Faculty Foundation, Inc., Lurie Children's Medical Group, LLC, Almost Home Kids, and Lurie Children's Health Partners Care Coordination, LLC).
For purposes of this survey, "NMHC" refers to Northwestern Memorial HealthCare and each of its subsidiaries: Northwestern Memorial Hospital (NMH), Northwestern Memorial Foundation (NMF), Northwestern HealthCare Corporation (NHC), Northwestern Medical Group (NMG), Northwestern Memorial Physicians Group (NMPG), Northwestern Memorial Insurance Company (NMIC), Northwestern Lake Forest Hospital (NLFH), Lake Forest Health & Fitness Institute (LFH&FI), Cadence Health System (CHS), Central DuPage Hospital Association (CDHA), Delnor-Community Hospital (DCH), Cadence Physician Group (CPG), Cadence Health Foundation (CHF), LivingWell Cancer Resource Center (LWCRC), Cadence Medical Partners (CMP), and all other Cadence Health System subsidiaries.

For purposes of this survey, "NU" refers to Northwestern University.

An Ownership or Investment Interest includes arrangements where you have (or plan to have) a direct or indirect equity, debt, or other proprietary interest (including stock, stock options, profit sharing plans, LLC memberships, partnerships, and joint ventures) in any Entity. An "indirect Ownership or Investment Interest" includes any equity, debt, or other proprietary interest held through a business investment or an Immediate Family Member. For example, you have an indirect ownership interest in a nursing home if you are a shareholder in a company that is a shareholder of the nursing home.

An interest comprised solely of publicly traded securities or mutual funds is considered an Ownership or Investment Interest only if such interest is five percent (5%) or more of any class of shares or other securities outstanding.

A Personal Relationship is a close personal or business connection with another individual of a potential bias-producing nature, i.e. a relationship that could cause the person to place the interests of that individual above the interests of Lurie Children's, NMHC, Feinberg, NU, or RIC.

A Referral Source is any person or Entity that is a source or potential source of patient referrals to Lurie Children's, NMHC, or RIC, such as community physicians who refer patients to Lurie Children's, NMHC, or RIC for specialty services.

For purposes of this survey, "RIC" refers to the Rehabilitation Institute of Chicago.

For purposes of this survey, "VA" refers to the United States Department of Veterans Affairs.

A Vendor/Referral Recipient is any person or Entity that sells to or obtains business or referrals from Lurie Children's, NMHC, NU, or RIC, or possibly could sell to or obtain business or referrals from Lurie Children's, NMHC, NU, or RIC.